

Polarization and State Legislative Elections*

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Abstract

U.S. state legislatures are critical policymaking bodies and the major pipeline of candidates to national office. Polarization in state legislatures has increased substantially in recent decades, yet we understand little about the role of elections in this process. We construct new measures of candidate ideology based on campaign contributions and roll-call votes, and we use them to offer the first systematic study of the relationship between candidate ideology and electoral outcomes in primary and general elections in state legislatures, 2000-2022. We find that the set of people running for state legislature has polarized substantially in recent decades amidst generally low electoral competition. More-moderate candidates enjoy an advantage in contested general elections, but that advantage has declined somewhat in recent years. More-extreme candidates are favored in contested primary elections. Together, the results indicate a shifting equilibrium in which more-extreme candidates increasingly seek office, win primaries more often, lose general elections less often, and face limited competition.

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1 Introduction

American elected officials at the state and national level are extraordinarily polarized along ideological and partisan lines (e.g., McCarty, Poole, and Rosenthal 2006; Shor and McCarty 2011). Political observers and researchers worry that this polarization might render the policy process less efficient, less responsive to citizens' needs, and less able to mount effective responses to crises. As a result, a large body of research seeks to understand the causes of legislative polarization, including its possible roots in the electoral system. While research on candidate ideology and electoral outcomes focuses mainly on the national level (e.g., Ansolabehere, Snyder, and Stewart 2001; Canes-Wrone, Brady, and Cogan 2002; Canes-Wrone and Kistner 2022; Hall 2015), understanding whether state legislative elections favor more-moderate or more-extreme candidates is important because state legislatures are themselves highly consequential and increasingly polarized policymaking bodies (Caughey and Warshaw 2022; Rogers 2023; Shor and McCarty 2011), and because they are the main source of future House and Senate candidates (e.g., Thomsen 2014) and could therefore be driving national polarization.¹ To what extent do primary and general elections for state legislature advantage more-moderate or more-extreme candidates? And how has this changed over time?

While these questions are foundational to understanding legislative polarization at both the state and federal level, they have been impossible to answer comprehensively because we lack a measure of candidate ideology for state legislatures that applies to both incumbents and non-incumbents and that corresponds closely to legislative polarization as measured by roll-call votes. Two important recent books show that more-moderate incumbent state legislators modestly outperform more-extreme incumbents in general elections (Caughey and Warshaw 2022; Rogers 2023).² But the relationship between ideological positions and

¹For arguments for why a more-extreme state legislative candidate pool could cause an important part of the rising polarization of Congress, see Thomsen (2017) and Hall (2019).

²For earlier work on the relationship between incumbent ideology and electoral outcomes in state legislatures, see (Birkhead 2015; Hogan 2008; Rogers 2017).

electoral performance may be different for challengers or open-seat candidates who do not have the other advantages that incumbents possess. To understand the overall link between candidate ideology, election outcomes, and polarization, it is therefore important to study the entire candidate pool and not only incumbents. Moreover, to assess whether the advantages of more-moderate or more-extreme candidates have changed over time, we need to make apples-to-apples comparisons of candidates across years, which is not possible if we have to condition our sample only on the incumbents who have survived in each cycle to face another reelection.

Accordingly, we develop two new measures of state legislative candidate roll-call ideology that apply to incumbents and non-incumbents alike. These new measures also seek to address concerns that Bonica’s (2014) CFScores, the only existing potential option for studying non-incumbents in state legislatures, are not sufficiently correlated with legislator roll-call voting in state legislatures to adequately capture incumbent ideology (Barber 2022; Hill and Huber 2015; Tausanovitch and Warshaw 2017).³ As a baseline model, we use the approach developed in Hall and Snyder (2015) that imputes candidate NP-Scores using the weighted averages of the NP-Scores of incumbents that a candidate’s donors also donated to. To improve predictive accuracy, we build off of the ideas in Bonica (2018),⁴ and use a machine-learning based approach that uses campaign donation records to predict incumbent NP-Scores, a widely used measure of state legislator’s roll-call-based ideology from Shor and McCarty (2011). We train both models using only contributions received before a candidate first takes office, helping to allay concerns that campaign-finance-based scalings could partially be a function of having won office previously. We then apply the models to all candidates with sufficient donations to be scored. Both of the resulting measures correlate highly with NP-Scores, even within party.

³Perhaps due to these concerns, no existing study that we are aware of analyzes state legislators using CFScores.

⁴A similar ML approach is also used in Bonica and Li (2021).

In collaboration with Fourniaies and Hall (2020) and Rogers (2023), we also construct a new dataset on state legislative primary elections, collected and digitized from each state’s official records, and extensively cleaned and standardized. We merge this with data on general elections from 2000 through 2022 and combine it with CFScores and our candidate ideology scores to form a dataset containing estimated ideological positions and primary- and general-election performances of nearly 48,000 candidates for state legislative office.

With this new data, we first show that the polarization of the whole set of candidates seeking state legislative office has risen dramatically over the past two decades. The growing polarization of state legislators tracks the polarization of the set of candidates running for office quite tightly. We argue that who runs for state legislature may therefore be very important for understanding state legislative polarization, despite the focus of existing research on incumbent positioning, and may therefore be important for explaining polarization at the federal level, too. If the entire pipeline of candidates seeking state legislative office is polarizing, this will increase the polarization of congressional candidates, too.⁵

Using a panel design that compares over-time changes in the ideological midpoint between candidates within a given district, we then show that contested general elections have favored more-moderate candidates, on average. This advantage is relatively modest in magnitude and appears to have declined noticeably in recent years. While there may be many explanations for this decline, it is at least consistent with the growing literature on the nationalization of state legislative elections (Abramowitz and Webster 2016; Hopkins 2018; Rogers 2016, 2023).⁶ We bolster these findings with a regression discontinuity design on close primary races, where a more-extreme candidate in the general election is arguably as-if randomly assigned, and find a modest but meaningful vote-share penalty for the more-extreme candidates in the

⁵In fact, Phillips, Snyder, and Hall (N.d.) shows that the general trend of state legislators becoming more extreme is a more important part of the explanation for the polarization of the Congressional candidate pool than changes caused by redistricting or electoral competitiveness.

⁶On the other hand, despite these patterns of nationalization, meaningful amounts of split-ticket voting still occur in state legislative races (Kuriwaki 2023), especially where information is higher (Moskowitz 2021). It also remains a puzzle why there has been a more substantial advantage to more-moderate candidates in the early 2000s, when partisanship was still important and voter information was presumably still low in state legislative elections.

general election. Next, we take advantage of our large dataset to study important sources of variation in these effects. We find that the advantage is larger in competitive districts, measured by presidential vote, suggesting that a decline in competitive districts could be an important driver of the declining advantage over time.

Finally, we also offer the first comprehensive estimates of the relationship between candidate ideology and electoral outcomes in contested primary elections. We find that these elections favor more-extreme candidates, on average, and this advantage has remained large in recent years. These findings build from the analyses presented in Rogers (2023), which shows that incumbents with more-extreme roll-call votes in state legislatures enjoy a modest advantage in primaries.

Taken together, our estimates paint a picture of a changing state legislative system in which more-extreme candidates are increasingly seeking office, face limited competition, are favored in primary elections, and face relatively small and diminishing penalties in the general election. This pattern shows how state legislatures have polarized over the past two decades, and also helps to explain why the set of people running for Congress has also polarized so much over this same time period. There are many possible explanations for why the state legislative electoral system has evolved in this manner, including changes to the media environment, to the structure of districts and elections, to American political culture, and to the policy agenda facing state legislatures. In the conclusion to the paper, we discuss how our findings help to set up future research on these important questions.

2 Campaign-Finance Based Measures of Candidate Roll-Call Ideology

To assess the electoral roots of roll-call based polarization, we first need a measure that closely captures how both winning and losing candidates would cast roll-call votes in state legislatures. However, no existing measures of ideology that extend to candidates for state

office are optimized explicitly for capturing roll-call voting behavior in state legislatures. Bonica (2014)’s CF-Scores, which use an unsupervised approach to extract a dimension of ideology from donations to candidates, have relatively low within-party correlations with roll-call based measures for incumbents (Barber 2022; Hill and Huber 2015; Tausanovitch and Warshaw 2017).⁷ While there may be many settings in which that low correlation is not in and of itself problematic, for cases where it is a problem, Bonica (2018) developed supervised scalings that predict incumbent DW-NOMINATE scores in Congress based on campaign contributions. These “DW-DIME” scores are highly correlated with roll-call voting behavior within party, but they do not extend to most candidates for state office. Hence, our goal in this section is to build a new scaling for state legislative elections that correlates highly with within-party voting behavior.

2.1 Using Campaign Finance Records to Predict NP-Scores

We begin with the key target variable that we want to predict, the ideological mappings for state legislators from Shor and McCarty (2011), called NP-Scores. The most recent version provides scores for 27,629 state legislators between 1993 and 2020.⁸ These mappings are the result of projecting a measure of voting behavior from each state legislature onto a measure of legislator responses to the Project Vote Smart National Political Awareness Test (NPAT). The methodology uses a state-specific OLS regression of a one-dimensional NPAT-based scaling onto a one-dimensional roll-call based scaling using legislators who have both scores available. Therefore, voting disagreement between legislators within a state on the first roll-call dimension is amplified the more it correlates with the NPAT’s first dimension.

Though NP-Scores are the most widely used measure of roll-call-based polarization at the state level, they also have some inherent limitations. First, though the NPAT is designed to

⁷To be clear, there may be many instances in which a high within-party correlation with DW-NOMINATE is not the right empirical goal. Different applied settings require different types of scalings.

⁸To obtain some coverage for 2021-2022 elections, we carry forward the NP-Score for incumbents with scores in the 1993-2020 dataset. The April 2023 release of the data was downloaded via <https://dataverse.harvard.edu/dataset.xhtml?persistentId=doi:10.7910/DVN/NWSYOS>.

measure nationally relevant ideological issue positions, voting behavior in legislatures can be driven by party control in addition to individual ideological leanings (Lee 2009; Stiglitz and Weingast 2010). As in other studies of roll-call based polarization (e.g., Poole and Rosenthal 2007), we use “roll-call ideology” as a shorthand for the mixture of ideological and partisan factors that might drive roll-call-based polarization. Second, legislators’ positions on certain local issues may not align well with their positions on nationalized issues (Anzia 2021), which could cause the measure to be insensitive to polarization on important local issues in some states. Polarization should therefore be interpreted relative to the national issue space as captured by the NPAT’s first dimension, with the understanding that states less polarized on national issues could still be polarized on issues that are not relevant to the two-party axis that characterizes the NPAT’s first dimension.

Since these NP-Scores are only available for legislators who won election, we need another set of information to help us predict scores for people who have not, and may not ever, serve in office. While there are many potential data sources one might use for this purpose—such as the text of candidate speeches or behavior on social media—we build from the supervised learning approach of Bonica (2018) to estimate scores for both incumbents and challengers running for state office using campaign donations. Campaign contributions are ideal for our purpose because state legislators raise money from many donors, giving the data wide coverage, and because a meaningful number of sophisticated donors possess substantial on-the-ground knowledge about candidates and donate in substantial part based on ideological motivations (e.g., Barber, Canes-Wrone, and Thrower 2017). These are useful a priori reasons for using campaign-finance scalings, though we should point out that we do not need to assume any particular behavior by donors; whether the campaign finance data can predict NP-Scores or not is a simple empirical matter that we confirm below.

Though our basic supervised learning approach follows Bonica (2018), we further customize the prediction problem based on the downstream empirical analyses we wish to conduct. First, because our analysis hinges on producing credible ideology estimates for can-

didates that do not win office, we develop our models only using donations received *before* candidates win state legislative office for the first time. This avoids biasing the predictive models with information following electoral victories when studying performance in elections (Hall and Snyder 2015), and improves the generalizability of the models to losers of elections by mimicking the information set that donors have about candidates whom they have not yet observed in state legislative office. As a result, our predicted NP-Scores are static over a legislators’ career, matching the construction of NP-Scores, and do not incorporate any donation information from elections in which candidates ran as incumbents. Second, because campaign contributions are more sparse at the state level than the federal level, we only produce scores for candidates that received money from at least 5 donors who also gave to at least 5 legislators with an NP-score. These minimal thresholds ensure that we are not inadvertently assigning legislators scores based on noise due to sparsity. Third, in order to make the assumption that prediction error is equal in expectation between winners and losers more plausible, we assign all legislators in the training data an “out-of-sample” score based on a model that was not trained on their particular donations in any capacity. On top of the cross-validation procedures employed in Bonica (2018), the out-of-sample scores provide an additional guarantee that the predicted scores are not overfit to the training legislators.

We obtain campaign donations for state legislative candidates from the National Institute on Money in Politics, which digitizes and standardizes information from campaign finance reports for all state-office candidates.⁹ The data consist of nearly 30 million transactions for state legislative elections between 1989 and 2022, with comprehensive election coverage beginning in the year 2000. We merge this donation information at the legislator-election-year level to the NP-Scores to obtain a unified set of predictors and outcomes for 20,757 state legislators in at least one election, 11,739 of which have enough donations before they won office to enter the training set.

⁹See <https://www.followthemoney.org/our-data/about-our-data> for more information. For an overview of the diverse campaign finance regulatory landscape in state legislatures, see Powell (2012).

Since we study primary as well as general elections, we also calculate versions of our scores that scale legislators using only *primary* donations from before they won office. These scores are noisier and apply to fewer legislators, but do not change the substantive findings, so we report the main results using these primary-only scores as a robustness check in Appendix A.5.

Baseline model: contribution-weighted averages

Our baseline model follows the methodology of Hall and Snyder (2014) and McCarty, Poole, and Rosenthal (2006) by predicting candidates' NP-Scores using the contributed-weighted average NP-Score of the candidates to which their donors contribute.¹⁰ This straightforward method has been employed in previous work on Congressional candidates (Hall and Snyder 2014; Hall 2015; Hall and Thompson 2018; Hall 2019), and builds on an extensive literature that uses campaign contributions to scale candidates without machine learning (e.g., Poole and Romer 1985; McCarty and Poole 1998; McKay 2008, 2010; McCarty, Poole, and Rosenthal 2006; Bonica 2013, 2014, 2018).

Drawing on the NP-Score and campaign contributions data, we estimate predicted NP-Scores for candidates in two stages. First, we estimate a preference score for all state legislative donors as the average contribution-weighted NP-Score of the incumbents to which a donor contributes. More formally, let \mathbf{X} be an $m \times n$ matrix of campaign contributions, where \mathbf{X}_{ij} is the donation amount from donor j to candidate i , and y_i is incumbent i 's NP-Score. Then donor j 's revealed preference z_j is given by

$$z_j = \frac{\sum_{w \neq i} y_w \mathbf{X}_{wj}}{\sum_{w \neq i} \mathbf{X}_{wj}} \quad (1)$$

¹⁰A similar method is also used in Caughey and Warshaw (2022).

where we leave out candidate i when estimating donor j 's preferences to avoid a feedback loop.¹¹

The second step is to impute candidate NP-Scores from the preferences of their donors. Specifically, we calculate each candidate's predicted score as

$$\hat{y}_i = \frac{\sum_j z_j \mathbf{X}_{ij}}{\sum_j \mathbf{X}_{ij}} \quad (2)$$

For the remainder of the paper, we will refer to these contribution weighted-average scores as "HS scores" (for Hall and Snyder).

Machine learning extension: random forest regression

We improve upon the predictive accuracy of our baseline model with a machine learning (ML) approach. It is important to note that, while the ML-based scores can provide the best within-party predictions of NP-scores, they do carry some costs. For one thing, ML-based scores may contain difficult-to-understand biases, since the process trades off bias in exchange for reducing variance in the prediction problem. Depending on the nature of these biases, they could affect our downstream estimates of the electoral advantage of different types of candidates. Compounding this issue, ML-based scores are inherently something of a black box, and we have only a limited ability to examine what determines the scores that different candidates receive. For that reason, we use both the baseline and ML scores in all of our election analyses, along with CFScores.

To produce the ML scores, we learn a party-specific mapping $\hat{f}_p(\cdot)$ between the donations a candidate receives before they ever take office, and their subsequent NP-score that summarizes voting behavior over their entire careers:

$$y_{i,\text{post}} = \hat{f}_p(\mathbf{x}_{i,\text{pre}}) + \epsilon_{i,\text{post}} \quad (3)$$

¹¹Note, however, that our results are substantively identical if we include candidate i when estimating donor j 's ideology (i.e., $\mathbf{z}_j = \frac{\sum_w y_w \mathbf{X}_{wj}}{\sum_w \mathbf{X}_{wj}}$).

where $y_{i,\text{post}}$ is the NP-Score for legislator i in party p that summarizes voting behavior post winning office, and $\mathbf{x}_{i,\text{pre}}$ is a vector of predictors for legislator i before ever winning office for the first time. We learn $\hat{f}_p(\cdot)$ using a random forest regression (Breiman 2001), an ensemble method that learns a large number of decision trees on bootstrapped samples of the training data by randomly selecting subsets of predictor variables to consider at each split of each tree, and averages predictions across trees to produce a final prediction. We choose the optimal number of predictors to select at each split through ten-fold cross-validation. As in Bonica (2018), we construct donation-based predictors using both standalone donations received from larger donors, and summaries of all donors’ preferences using the contribution-weighted average method of the baseline model. These donor summaries are constructed in accordance with the cross-validation scheme to avoid data leakage, and training data legislators are assigned their predicted score from the cross-validation round where they were not used to train the model or build the feature set. Appendix A.1 describes in more detail how we constructed the feature set, reports the results of the cross-validation exercise, and provides a summary of which features were most predictive. We learn two separate mappings for Republicans and Democrats to improve prediction accuracy within party and hence our ability to measure extremism within partisan primaries.

For the remainder of the paper, we will refer to these ML-based scores as “ML scores.”

2.2 Validating the Scalings

To validate these new scalings, we conduct two empirical exercises: we compare them to observed NP-Scores for candidates who take office, and we use them to predict a large dataset of roll-call votes cast in all 99 state legislatures from 2010 to 2022. We report the results of these exercises below.

2.2.1 Within-Party Correlation

Figure 1 compares legislator NP-Scores (on the horizontal axis of each panel) to their predicted score based on four possible scalings: their Hall-Snyder scores (top left panel); their ML scores (top right panel); their static CFScores (bottom left panel); and their dynamic CFScores (bottom right panel). As the figure shows, while all four scores have relatively high overall correlations (indicating their ability to separate legislators of the two parties), both the Hall-Snyder and ML scores achieve substantially higher within-party correlations. As expected, the ML scores that use machine learning achieve the highest within-party correlations. Because not every candidate can be scored with every model, we report a balance table of candidate characteristics in Appendix A.2. We also report within-state, within-party correlations for the HS and ML scores in Appendix A.3.

2.2.2 Classifying Roll-Call Votes

Another way to validate the new scalings is to use them to predict the outcome of specific roll-call votes. To do so, we follow Bonica (2014, 2018) and calculate the percentage of state legislative roll-call votes that can be correctly classified using an optimal cutting-point procedure described in Poole and Rosenthal (2007).¹² For this exercise, we construct a panel containing the near-universe of roll-call votes cast in all 99 state legislative chambers for the years 2010-2022, and a subset of states for the years 2000-2009. Overall, this panel includes 72 million roll-call votes. Appendix section A.4 details the exact coverage of these data and the specifications of our classification exercise.

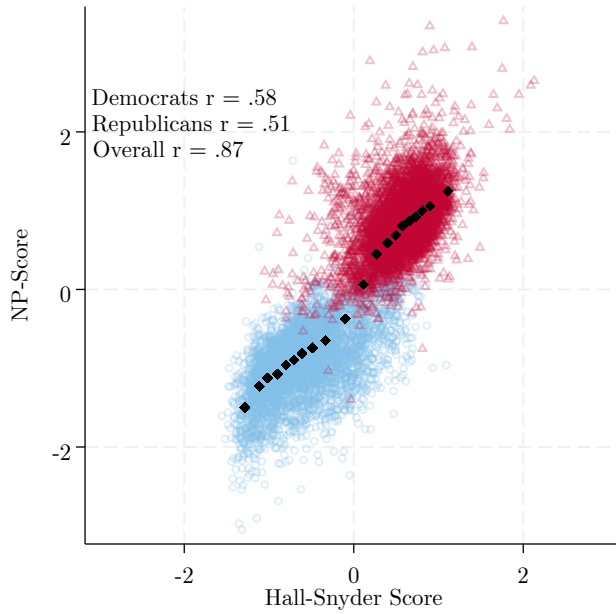
Table 1 reports the classification rates and aggregate proportional reduction in error (APRE) for our new scores and, for comparison, NP-Scores, dynamic and static CFScores, and the naive indicator for party.¹³ The table orders the scalings by overall prediction rate.

¹²Specifically, for every roll-call in our dataset, we find the maximally-classifying point in one-dimensional space that predicts “Yea” votes on one side and “Nay” votes on the other. We then report the percentage of all votes cast that are correctly predicted.

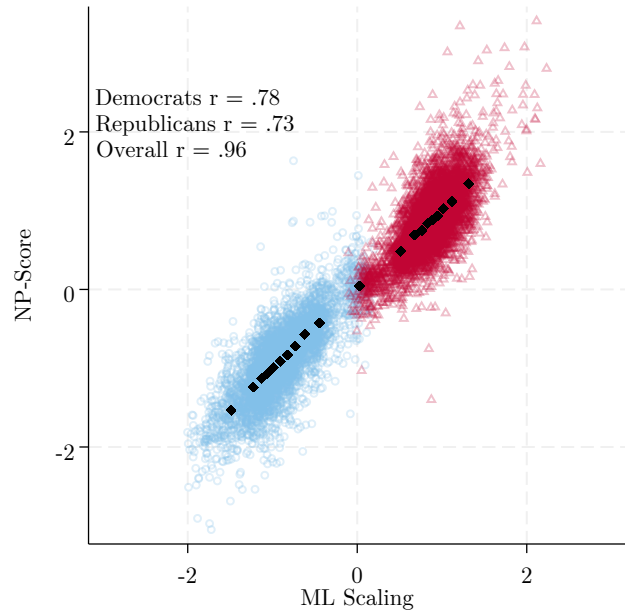
¹³We exclude DW-DIME Scores from this analysis because their coverage is insufficient to accurately calculate representative cutting-points.

Figure 1 – Hall-Snyder and ML Scores Correlate Well With NP-Score Scalings, Even Within Party. Figures plot roll-call-based NP-Scores against the various campaign-finance-based scalings for Democratic (circle) and Republican (triangle) incumbent legislators. Diamonds represent equal-group-size averages.

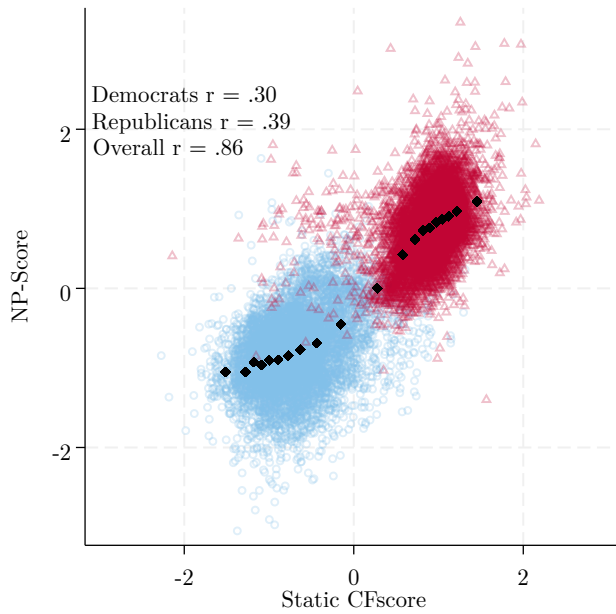
(a) Hall-Snyder Scores



(b) ML Scores



(c) Static CFscores



(d) Dynamic CFscores

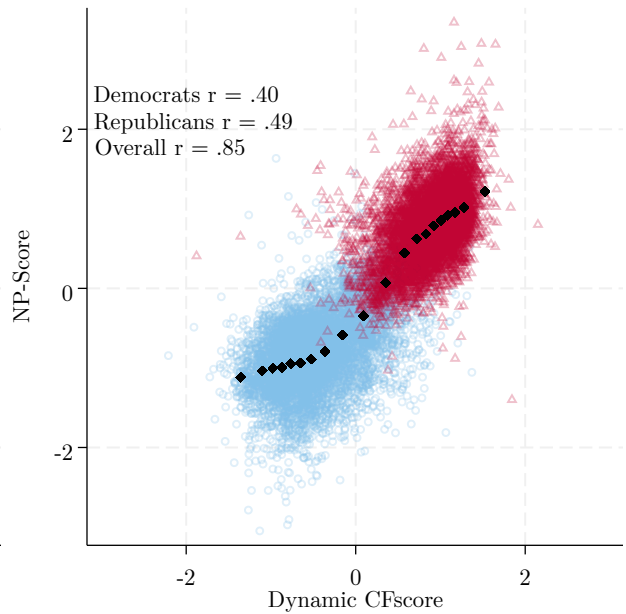


Table 1 – Percent of State Legislative Roll Call Votes Classified Correctly, 2000-2022.

Scaling	Overall	House	Senate
NP-Score	0.916 (0.759)	0.915 (0.757)	0.921 (0.769)
ML Scaling	0.904 (0.717)	0.903 (0.715)	0.911 (0.726)
Hall-Snyder Score	0.893 (0.685)	0.892 (0.684)	0.902 (0.698)
Static CFscore	0.885 (0.671)	0.885 (0.670)	0.888 (0.674)
Party	0.860 (0.595)	0.862 (0.603)	0.850 (0.554)

Note: Aggregate Proportional Reduction in Error reported in parantheses.¹⁴ Table is ordered by overall classification rate.

As can be seen, the order is as expected: the ML scores do the best job of replicating the classification success of the NP-Scores themselves, the Hall-Snyder scores do almost as good a job, the CFScores do slightly worse, and all four outperform the naive Party model.

In sum, across these two exercises, we see that both of our new contribution-based scores correlate well with NP-Scores within party and predict roll-call voting effectively. This makes them useful tools for analyzing the relationship between candidate ideology and electoral performance, which we will turn to now.

3 New Data on State Legislative Elections

In order to provide a comprehensive analysis of candidate ideology and electoral performance in both primary and general elections, we assemble a new dataset of state legislative election results. We begin with the State Legislative Election Returns (SLERs) dataset from Klarner (2023) which covers all general elections in state legislatures, including full coverage of the years of our study, 2000–2022. Though election data is available before the year 2000, we

¹⁴ $APRE_i = \frac{\sum_{j=1}^J \{\text{minority vote}_j - \text{classification errors}_{ij}\}}{\sum_{j=1}^J \text{minority votes}_j}$ for scaling i and roll call j .

study elections between 2000 and 2022 due to limited coverage of the donation data in the 1990s.

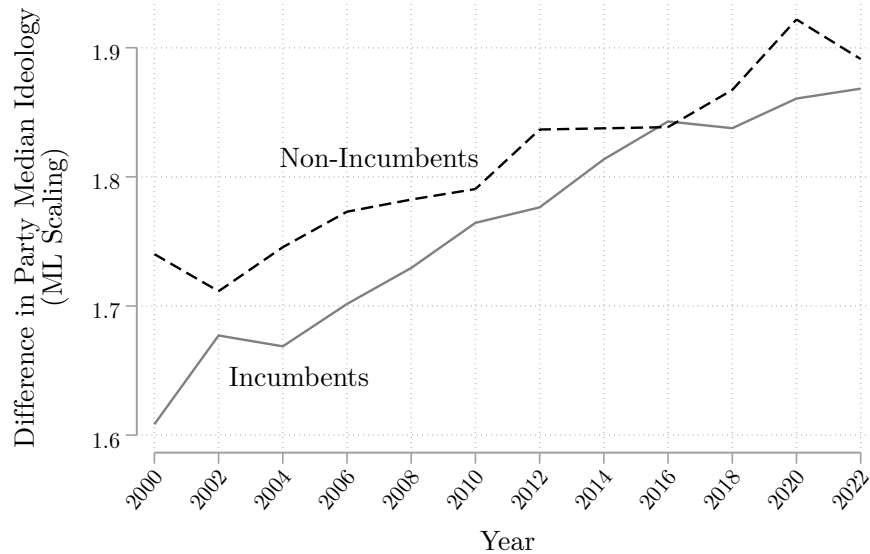
Next, we construct a comprehensive record of primary election outcomes for 2000-2022 in all relevant states. To do this, we started from partial data on 42 states for the period 2000-2014 from Rogers (2023). We added data on primaries in runoff states collected in Fournaies and Hall (2020). We then collected the remainder of the data—filling in gaps in the other datasets, adding the remaining states, and extending the data through 2022—from state websites, and cleaned and standardized the resulting combined dataset extensively. Overall, almost exactly 50% of the data we use was collected anew for our study, with the other half coming roughly equally from the two sources referenced above. When applicable, our primary data includes both first-round and runoff primary-election results. The complete primary dataset includes full coverage of all primary elections corresponding to general elections in our sample.

To facilitate meaningful comparisons between candidates, we restrict our analysis data along three margins. First, we focus on Democratic and Republican candidates. Second, we subset our data to include state-chamber-years for which a majority of all available seats are in single-member districts. Finally, we exclude state-chamber-years with non-conventional primary election systems (i.e. top-two and blanket primaries), all special elections, and require each election to send its winner to office for a full term.¹⁵

We merge the primary and general election data together into a master dataset along with the candidate ideology scores validated in Section 2. Due to the restrictions discussed in Section 2 to ensure that candidates have sufficient donation data to receive predicted scores, we are able to assign ML and HS scores to all candidates within a race in about 10,000 general elections and about 4,000 primary elections, and CFScores to all candidates within a race in about 20,000 general elections and 8,000 primary elections.

¹⁵The latter two restrictions affect few legislators, reducing our sample by approximately .08%.

Figure 2 – Polarization of Candidates in State Legislatures Over Time, 2000-2022. Plots the absolute difference between each party’s median incumbent legislator (blue line) and between each party’s median non-incumbent candidate (black line), across all states, by year, as measured using ML scores. Non-incumbent includes both challengers and open-seat candidates.



What kinds of elections enter our sample? Appendix A.2 reports characteristics of the elections included in the analysis sample compared to all elections ($n = 63,109$ generals and $n = 79,888$ primaries), all contested elections ($n = 37,335$ generals and $n = 18,362$ primaries), and all competitive elections ($n = 16,242$ generals and $n = 3,976$ primaries). Competitive elections are defined as having a winning margin of 20% or less. As the Appendix shows, we are able to capture the majority of competitive races. The races we study are a little less likely to have incumbents than the overall population of competitive races but look very representative of the population in terms of partisanship (measured with Democratic presidential vote share).

4 Polarized Candidate Entry in State Legislative Elections

We first use our new data to describe the ideological positions of the people who run for state legislature over time. With relatively low rates of electoral competition, who runs for office becomes especially important in determining the polarization of state legislatures. Figure 2 plots the difference in the median candidate’s ideology for each party, using the ML scores, over time. The plot shows separate lines for the entire set of new candidates in each cycle (i.e., all non-incumbent candidates), and for sitting legislators (i.e., incumbents). To keep the plot easily readable, we omit odd year elections from it.

As the figure shows, we see a steep increase in the polarization of candidates over time; as legislative polarization has increased, so, too, has the polarization of the set of people running for office in the first place. The figure also suggests that, though incumbents are less polarized than non-incumbents throughout the study period, the gap between incumbents and non-incumbents appears to have narrowed since 2010. This suggests that, in addition to a steady increase in polarization among who runs for office over the past two decades, there has also been a shift in electoral selection, from a system that weakly favored more-moderate candidates from among the set of candidates to a system that is indifferent between more-extreme and more-moderate candidates. We will formally document this pattern in the analyses below.

5 General Elections and the Advantage of More-Moderate Candidates

Do contested general elections in state legislatures favor more-moderate candidates, and if so, how much?

To answer this question, we first follow the “midpoint” method of Ansolabehere, Snyder, and Stewart (2001). For each contested election, we compute the distance in ideology between the Democrat and Republican candidates, and we compute the midpoint between their estimated platforms. When this midpoint moves to the right while the distance between the candidates remains constant, it means that the Republican candidate has become more extreme and the Democratic candidate has become more moderate, and vice versa when the midpoint moves to the left while the distance remains constant. This approach is very similar to the common approach of estimating each candidate’s “conservatism”, as used in Caughey and Warshaw (2022). In that approach, researchers typically use the absolute value of the scaling to indicate extremism, with the idea that larger scores reflect candidates who are farther away from the middle of the scale. The midpoint approach offers a small but occasionally useful improvement over this approach because it does not need to assume that zero is a natural point from which to compute distances (since zero is arbitrary in many candidate scalings including the ones we develop in this paper), and because it does not need to assume away cases in which both the Democrat and the Republican candidate are to one side of the median voter.

To implement the midpoint method, we estimate regressions of the form

$$Y_{ict} = \beta_1 \text{Midpoint}_{ict} + \beta_2 \text{Distance}_{ict} + X_{ict} + \gamma_i + \delta_t + \epsilon_{ict}, \quad (4)$$

where Y_{ict} represents the Democratic vote share in district i in chamber c at time t . The vector X_{ict} stands in for an optional vector of control variables, and γ_i and δ_t stand in for district-regime and time fixed effects.

The quantity of interest is β_1 which captures the association between how moderate the Democratic candidate is (when the midpoint between the two candidates shifts right while holding the distance between them equal) and Democratic electoral outcomes. In the original Ansolabehere, Snyder, and Stewart (2001) approach, the unobserved district median

Table 2 – Advantage of More-Moderate Candidates in Contested General Elections, 2000-2022.

	Dem Vote Share			
	(1)	(2)	(3)	(4)
ML Scaling	0.16 (0.02)	0.12 (0.02)	0.13 (0.03)	0.11 (0.03)
Hall-Snyder Score	0.26 (0.02)	0.19 (0.02)	0.22 (0.04)	0.23 (0.03)
Static CFscore	0.14 (0.01)	0.10 (0.01)	0.16 (0.03)	0.14 (0.02)
Dynamic CFscore	0.30 (0.01)	0.21 (0.01)	0.31 (0.02)	0.30 (0.02)
District-by-Regime FE	Y	Y	Y	Y
Year FE	Y	Y	Y	Y
Controls for Primary Contributions	N	Y	N	N
Only races with below-median contribution gap	N	N	Y	N
Only races with ≥ 10 primary donors per candidate	N	N	N	Y

Each cell in this table reports the coefficient on *Midpoint* from Equation 4 which is scaled to run from 0 (most liberal) to 1 (most conservative) for each scaling. Robust standard errors are clustered by district-regime in parentheses.

voter’s preferences are held constant by controlling for presidential vote share in the district. Because presidential vote share is not available easily for all state legislative districts, our preferred specification uses year fixed effects and district fixed effects generated separately for each redistricting period. As a robustness check, for the districts where presidential vote share is available, we report substantively similar results in Appendix A.5.¹⁶

The estimates that result from this approach do not intend to capture the “causal effect” of a candidate changing her platform; rather, it is a “selection effect” that asks whether candidates who offer more-moderate platforms, and who may vary from more-extreme candidates across many other attributes, do better electorally or not.

¹⁶We have presidential vote share data for 70% of the state legislative races in our sample.

Table 2 presents the results. Each cell in the table reflects a different estimate of β_1 , capturing the relationship between candidate moderation and vote share. The rows show the estimates for different candidate ideology scalings, while the columns are for different regression specifications. In all cells, the midpoint variable is scaled to run from 0 in the race with the leftmost midpoint to 1 in the race with the rightmost midpoint, so that β_1 reflects the predicted change in Democratic vote share for the maximal shift in the midpoint observed in the sample. While we prefer to focus on the first two rows which use our preferred scalings for these analyses—ML and Hall-Snyder scores—we also present estimates for static and dynamic CFScores (rows three and four) in order to offer a point of comparison to previous work. Because CFScores are not designed to predict NP-Scores, and because they pool contributions before and after successful candidates win office, we do not rely on these estimates for our main results.

Each column reflects a different regression specification. In column 1, we include fixed effects for each district within a redistricting regime, year fixed effects, and nothing else. Looking down the rows in column 1, we see that we find a consistently positive coefficient indicating an advantage for more-moderate candidates. The estimates using static CFScores and ML scores are roughly half the size of those using HS scores and dynamic CFScores, but are directionally similar.

In column 2, we add controls for the total contributions raised in the primary by each candidate, in logs. We focus only on primary contributions in order to avoid a sort of “post-treatment” bias that might occur where a candidate’s ideology both affects their ability to raise money in the general and affects their electoral outcome—such as if a more-moderate candidate is able to raise more money in the general election. These controls are helpful for making sure that our results are not driven by any possible linkage between raising more money and being scaled as more moderate. As we see, with this control included, all the estimates shrink from their prior magnitudes but remain positive.

The final two columns subset the data to probe the robustness of the analysis to our scaling procedure, since candidates with more donors have more information available with which to predict their NP-Scores. In column 3, we omit races where the two general-election candidates were very uneven in how much money they raised; in column 4, we omit races where either candidate raised a particularly small amount of donations, which could affect the accuracy of their scores. These decisions do not substantially change the magnitudes of the coefficients.¹⁷

We also report substantively similar results when removing states for which the within-state correlations between the ML and NP-scores are especially low, and when removing states for which Shor and McCarty (2011) report high vote prediction error in the NP-Scores themselves. In Appendix A.7, we show that the midpoint coefficient shrinks in magnitude but remains positive for both HS and ML scores as we increase the number of donors each candidate must have to be included in the analysis.

In general, looking across all of the specifications, we see strong evidence for a positive overall advantage to more-moderate candidates. If we focus on the ML scores estimate in column 2 as our best single estimate, we estimate that shifting from the most-extreme Democratic candidate to the most-moderate predicts a 12 percentage-point increase in vote share. Based on the standard deviation of the midpoint variable in this sample, a one standard-deviation increase in the midpoint variable would predict a 1.56 percentage-point increase in Democratic vote share. This is not nothing, and could certainly matter in a close election, but it does not seem like a very large advantage. For comparison, using the same midpoint approach, Hall (2019) estimates that shifting from the leftmost to the rightmost midpoint corresponds to a 30 percentage-point increase in Democratic vote share, an advantage that is more than double this estimate for state legislatures.

¹⁷In Appendix A.5, we re-estimate Table 2 using the ML scores that only use primary donations as a robustness check for possible “post-treatment” bias in the scores themselves, with substantively similar results.

5.1 Regression Discontinuity

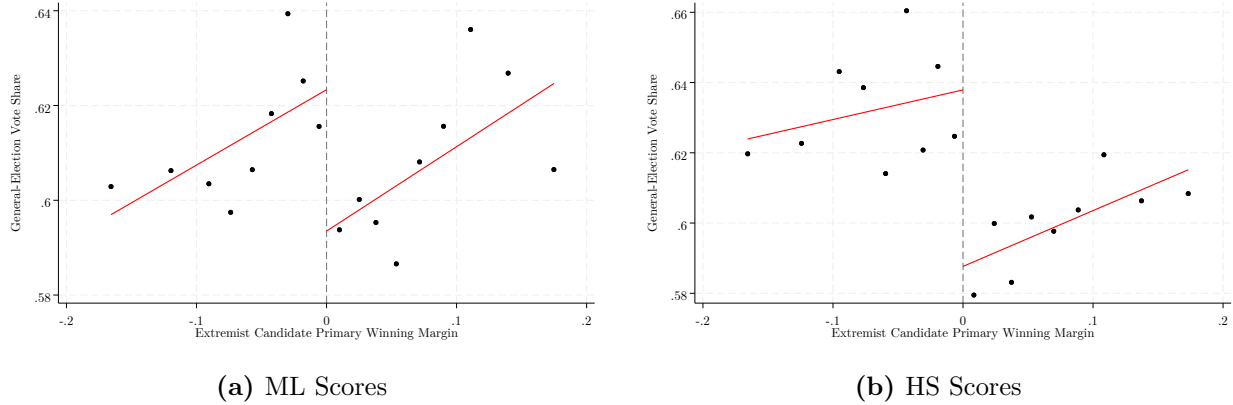
The midpoint approach used above has the advantage of using all of our data on contested general elections where we are able to scale both candidates. However, as we discussed, it requires being able to hold fixed the unobserved preferences of the district, which we do either using fixed effects or by controlling for presidential vote. Neither of these is a silver bullet; if districts’ political preferences change within redistricting cycles substantially, the fixed effects would fail to capture these trends. A similar issue occurs with presidential vote since it is not observed every year.

Hall (2015) provides an alternative way to hold fixed the preferences of the district by focusing on close primary elections between a more-extreme and a more-moderate candidate, with the idea that this approximates a natural experiment in which the district “randomly” receives one type of candidate or the other. To the extent this natural experiment is valid—an assumption for which we provide evidence below—then the districts that just barely nominate a more-extreme candidate will be otherwise just like those that nominate a more-moderate candidate, on average, including in their overall political preferences. For each contested primary election in which we are able to scale at least two candidates, we compute the estimated ideological distance between the top two vote-getting candidates.¹⁸ To focus on cases where there is a meaningful ideological distance between the more-moderate and the more-extreme candidate, we then focus on the set of cases where the distance between these top two candidates is at or above the median distance across all cases. We then follow standard approaches to estimate the “jump” at the discontinuity that occurs when the more-extreme of the two candidates just barely switches from losing the primary to winning it.

Figure 3 shows the results graphically. As can be seen in both panels, when the more-extreme candidate goes from just barely losing the primary (left side of each plot) to just

¹⁸Ideally, we would construct the ideological distance measure using only primary donations, since general donations are post-treatment in this setting. However, due to the sparsity of primary donations for non-incumbents, the primary donation measure is too noisy to cleanly estimate the RD, so we rely on the measure that uses both primary and general donations.

Figure 3 – Effect of Extremist Nominee on General Election Vote Share in U.S. State Legislatures, 2000-2022.



barely winning (right side of each plot), the party’s general-election vote share drops noticeably. The size of this drop is meaningful but not huge.

We estimate the size of this drop formally using standard approaches including the optimal bandwidth approach of Calonico, Cattaneo, and Titiunik (2014). Table 3 presents estimates for four different specifications and all four possible scaling approaches. In the first column, we focus on data in a 10 percentage-point window around 50/50 and use only a linear specification of the running variable. In the second and third columns, we include all of the data and use either a third-order or fifth-order polynomial specification of the running variable. Finally, in the fourth column, we use the automated procedure from Calonico, Cattaneo, and Titiunik (2014).

Looking across the first row, we see that the estimates using the ML scores range from a 2 percentage-point penalty to a 5 percentage-point penalty. These estimates grow modestly with HS and CFScores but remain relatively stable across specifications. Looking across the estimates, we find strong evidence for a modest penalty to extremist nominees. While a 2-5 percentage-point penalty in vote share is enough to tip close elections, it is small enough to not matter in many cases, too. In comparison, Hall (2019) uses the same RD setup with Hall-Snyder Scores and estimates an 8 percentage-point effect on vote share.

Table 3 – Effect of Extremist Nominee on General Election Vote Share, U.S. State Legislatures 2000-2022.

	Party Vote Share			
	(1)	(2)	(3)	(4)
ML Scaling	-0.04 (0.02)	-0.04 (0.01)	-0.05 (0.02)	-0.02 (0.02)
Hall-Snyder Score	-0.05 (0.02)	-0.05 (0.01)	-0.06 (0.01)	-0.06 (0.02)
Static CFscore	-0.05 (0.01)	-0.05 (0.01)	-0.05 (0.01)	-0.05 (0.01)
Dynamic CFscore	-0.05 (0.01)	-0.04 (0.01)	-0.04 (0.01)	-0.05 (0.01)
Polynomial	1	3	5	CCT
Bandwidth	.10	-	-	-

Note: Each cell in this table reports the coefficient on *Extremist Primary Win*. Robust standard errors are reported in parentheses.

The key assumption for the RD to be a valid estimate is that there is no sorting at the discontinuity: that is, in virtually tied elections, it should not be the case that either the more-moderate or more-extreme candidate systematically end up winning. As discussed and validated in Eggers et al. (2015), this is plausible since it is exceedingly unlikely that primary candidates are able to manipulate the results of these elections. Nevertheless, we can also directly test this assumption—and also look out for chance imbalances in our sample—by estimating the same RD “effect” where the outcome is the vote share of the nominee’s party in the *previous* election cycle. We carry out these tests in Appendix A.8 and find no evidence for sorting or for an imbalance that would contribute to our negative estimates.

In Appendix A.8 we also investigate how our estimated effect varies as we change the cutoff in terms of candidate ideological distance used to determine entry into the sample. As the figure shows, we find that the estimated penalty to nominating an extremist increases noticeably as we increase the cutoff and thereby focus on more “intensive” treatments in which the more-extreme candidate is farther away from the more-moderate candidate.

6 Variation in the Advantage of More-Moderate Candidates

So far, we have established that there is a modest, positive advantage to more-moderate candidates in contested general elections in state legislatures. This estimate pools across roughly 20 years of data and across 47 states. We can learn more about the roots of this modest advantage now by exploring where and when the advantage is larger and smaller.

6.1 Declining General-Election Advantage to Moderates Over Time

First, we explore whether the advantage to more-moderate candidates in contested general elections has changed in recent years. In Figure 4, we estimate equation 4 separately for each year, for both the HS scores and the ML scores. This is only possible when we use presidential vote to control for district preferences, since our main approach uses district fixed effects that require multiple years to be pooled in order to work.

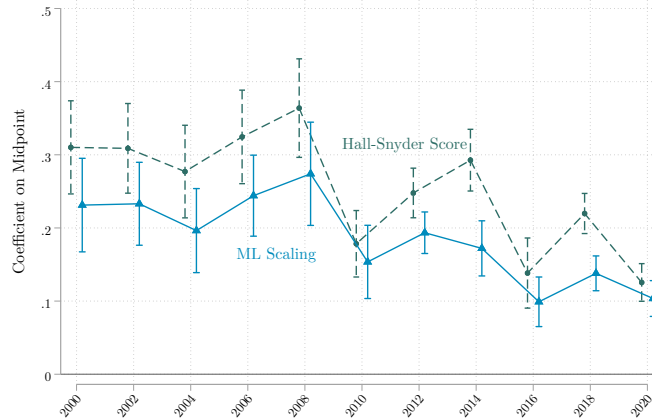
The figure reveals a relatively steady decline in the size of the coefficient on midpoint over time, indicating that the advantage to more-moderate candidates in contested general elections is shrinking. Although exactly how and when this decline has occurred varies across the two scores, the trends are very similar, and in both cases, the estimate in 2020 is the smallest of the whole time period, and indicates a quite modest advantage.¹⁹

6.2 Regression Results

Table 4 presents formal regressions results for the above heterogeneity tests as well as some additional ones, using the ML scores. In each column, we re-estimate equation 4, our basic midpoint approach, and we interact the midpoint variable with a key moderator variable.

¹⁹The year 2022 is excluded from this figure because we lack data on presidential election returns at the legislative district level for elections after the 2020 decennial redistricting process.

Figure 4 – General-Election Advantage to Moderates Over Time. This figure reports the coefficient on candidates’ *Midpoint* estimated separately by year along with the upper and lower 95% confidence intervals (vertical bars). This figure uses district presidential vote share to hold the median voter constant.



Column 1 simply provides a formal test related to Figure 4. Specifically, we interact the midpoint variable with an indicator for whether the election takes place in 2012 or later. We chose 2012 because it is the first cycle that occurs after the 2010 redistricting cycle, which aligns the way we cut the data with the district-by-regime fixed effects. In the second row we see that this interaction is negative and statistically significant, indicating a decline in the advantage post 2010.

In column 2, we explore whether the advantage to more-moderate candidates varies across the types of elections: presidential elections (captured in the main effect on *Midpoint* in the first row of the table); off-cycle elections (the interaction in the third row of the table); and odd-year elections (the interaction in the fourth row). The results indicate that there is no interaction for off-cycle races, meaning that we estimate that more-moderate candidates have similar advantages whether or not they share the ballot with a presidential race. Odd years potentially provide a more-interesting test because in the few states that hold odd-year elections for state legislature, there are often no national races at all on the ballots. Here we find a large negative interaction that is imprecisely estimated, as there are few cases that hold elections in odd years.

Table 4 – Variation in Midpoint Coefficient.

	ML Scaling			
	(1)	(2)	(3)	(4)
Midpoint	0.197 (0.032)	0.163 (0.022)	0.136 (0.040)	0.109 (0.027)
Midpoint · Year \geq 2012	-0.067 (0.040)			
Midpoint · Off Cycle		0.007 (0.009)		
Midpoint · Odd Year		-0.479 (0.160)		
Midpoint · Professionalization			0.085 (0.104)	
Midpoint · Competitive				0.045 (0.021)
N	7,517	7,517	7,517	7,517
District FEs	Y	Y	Y	Y
Year FEs	Y	Y	Y	Y

Note: Robust standard errors clustered by district in parentheses. *Midpoint* is defined according to Equation 4 and is scaled to run from 0 (most liberal) to 1 (most conservative) for each scaling. *Professionalization* scaled to run from 0 (least professionalized state) to 1 (most professionalized state). *Competitive* districts are districts where neither party received greater than 70% of the two-party presidential vote share. *Off-Cycle* elections occur in non-presidential election years. *Distance* is included in all models but not reported in the table.

In column 3, we interact the Midpoint variable with Squire’s (2017) measure of state legislative professionalization, as discussed above.²⁰ Professionalization is scaled from 0 (least professionalized) to 1 (most professionalized). We find no significant difference in the advantage to more-moderate candidates between legislatures with different levels of professionalization.

Finally, in column 4, we show that the advantage to more-moderate candidates is stronger in competitive districts. Competitive districts are districts where neither major party averaged greater than 70% of the two-party presidential vote share across the districting regime. As the table shows, the advantage is estimated to be larger in these districts. This is important because it suggests that, to the extent there are fewer competitive districts in state

²⁰Specifically, we average Squire’s (2017) aggregate professionalization measure by state across all available years (1996, 2003, 2009, and 2015).

legislatures than there used to be, this decline in competition could lead to a decline in the advantage to more-moderate candidates.

7 Primary Elections and the Advantage of More-Extreme Candidates

Having explored the links between candidate ideology and electoral outcomes in general elections, we now turn to estimating the advantage for more-extreme candidates in contested primary elections. To measure how extreme primary candidates are in relation to one another, we follow Hall and Snyder (2015) and define

$$Relative\ Centristism_{ipt} = |Cand\ Ideology_{ipt} - Most\ Extreme\ Ideology_{pt}| \quad (5)$$

where $Cand\ Ideology_{ipt}$ reflects the ideology score of candidate i running in the contested primary for party p in year t . The variable $Most\ Extreme\ Ideology_{pt}$ represents the most-extreme candidate running in primary pt , i.e., the candidate with the maximum scaling, in a Republican primary, and the candidate with the minimum scaling, in a Democratic primary. The basic idea here is to give each candidate in a contested primary a score that indicates how much more moderate she is than the most-extreme candidate in the race. This measure is better than using the simple absolute value of the scaling to measure extremism because it deals with cases where Republicans have scalings less than zero or Democrats have scalings greater than zero. This occurs with non-trivial frequency because 0 is an arbitrary value in the candidate scalings.

Armed with this measure, we then estimate regressions of the form

$$Y_{ipdt} = \beta_p Relative\ Centristism_{ik} + X_{ipdt} + \epsilon_{ipdt}, \quad (6)$$

Table 5 – Advantage of More-Extreme Candidates in Contested Primary Elections, 2000-2022.

	Primary Vote Share							
	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)
ML Scaling	-0.17 (0.02)	-0.17 (0.02)	-0.17 (0.02)	-0.17 (0.02)	-0.20 (0.02)	-0.20 (0.02)	-0.08 (0.02)	-0.08 (0.02)
ML Scaling (Primary donations only)	-0.15 (0.02)	-0.15 (0.02)	-0.16 (0.02)	-0.16 (0.02)	-0.18 (0.03)	-0.18 (0.03)	-0.10 (0.03)	-0.10 (0.03)
HS Score	-0.12 (0.02)	-0.12 (0.02)	-0.13 (0.02)	-0.14 (0.02)	-0.15 (0.02)	-0.15 (0.02)	-0.10 (0.03)	-0.10 (0.03)
Static CFscore	-0.14 (0.02)	-0.14 (0.02)	-0.16 (0.02)	-0.18 (0.02)	-0.07 (0.03)	-0.08 (0.03)	-0.15 (0.03)	-0.15 (0.03)
Dynamic CFscore	-0.02 (0.02)	-0.02 (0.03)	-0.09 (0.02)	-0.10 (0.02)	0.06 (0.03)	0.06 (0.04)	0.01 (0.03)	0.01 (0.04)
District-by-Party FE	Y	N	Y	N	Y	N	Y	N
Party-by-Year FE	Y	N	Y	N	Y	N	Y	N
Number of Candidates FE	Y	N	Y	N	Y	N	Y	N
Race FE	N	Y	N	Y	N	Y	N	Y
Controls for Primary Contributions	N	N	Y	Y	N	N	N	N
Only races with below-median contribution gap	N	N	N	N	Y	Y	N	N
Only races with ≥ 20 donors per candidate	N	N	N	N	N	N	Y	Y

Each cell in this table reports the coefficient on *Relative Centrism* from Equation 5 which is scaled to run from 0 (most extreme) to 1 (most moderate) for each scaling. The sample is restricted to contested primary elections and excludes races in districts where the opposing party received greater than 70% of the two-party presidential vote share. Robust standard errors in parentheses.

where Y_{jpct} reflects the vote share for candidate i in the primary for party p in district d in year t . The vector X stands in for a vector of covariates. This vector will generally either include a set of fixed effects for every primary race (i.e., a fixed effect for every primary for party p in every district d in every year t), or fixed effects for district-party, party-year, and for the number of candidates in the race (since average vote share must decline with the number of candidates in the race).

Table 5 presents the results for contested primary elections, excluding districts where the opposing party received greater than 70% of the two-party presidential vote share.²¹ Each cell in the table reflects the coefficient on *Relative Centrism* for a different scaling and

²¹In Appendix A.9 we document that all four scalings tend to underestimate extremism for candidates in very uncompetitive presidential districts, particularly for Democratic candidates, likely due to access-seeking behavior on the part of donors. The ML scores do the best at ameliorating this relationship, but

specification of the regression. We will not exhaustively review the estimates, in part because they are relatively stable across specifications, and because there are many. Looking at the first two rows that use our preferred scalings, we see a consistent, large, negative coefficient—indicating that more-moderate candidates do worse, on average, in contested primaries. These results are corroborated by the static CFScores, though the dynamic CFScores do not show a consistent pattern.

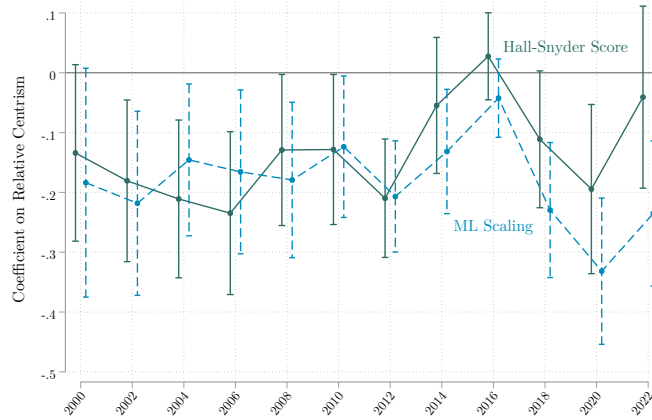
Consider our preferred estimate, which uses race fixed effects, the ML scores, and no additional controls (since using primary contributions as a control is arguably post-treatment in this case). Here we estimate that going from the most moderate candidate to the most extreme candidate in a primary predicts a 17 percentage-point decrease in primary vote share. This maps to a 1 percentage-point decrease in predicted vote share for every one standard-deviation shift in relative centrism, which seems like a small but potentially important effect. In Appendix A.6, we report substantively similar results for our preferred specification when removing states with high prediction error, as in earlier robustness checks for the midpoint models. In Appendix A.7, we show that the advantage to extremists shrinks in magnitude but remains statistically different from zero for both HS and ML scores as we increase the minimum number of unique donors that both candidates in a race must receive a contribution from to be included in the analysis.

7.1 Advantage to Extremists in Primaries Persists Over Time

Finally, we can also again explore whether these relationships are changing over time. In Figure 5, we plot our estimates for the coefficient on *Relative Centrism* by year, using Equation 5 specification. We see a brief decline in the disadvantage for more-moderate

for robustness we exclude very uncompetitive districts from the analysis. Our substantive findings are unchanged when adding the remaining 30% of races.

Figure 5 – More-Moderate Candidates Disadvantaged in Primaries Over Time



candidates between 2012 and 2016, but in recent years, the disadvantage has returned to being statistically indistinguishable from pre-2012 levels, though the point estimate is lower.²²

8 Conclusion

Understanding how state legislatures have polarized is important both because the state legislatures are themselves highly important policymaking bodies, and because they are the main pathway for candidates to Congress. In this paper, we have offered the first systematic analyses of the links between candidate ideology, electoral competition, and legislative polarization in state legislatures that cover all three stages of the process: candidate entry, primary elections, and general elections. Using new data and new measures of candidate ideology based on campaign contributions, we have established three empirical findings that are relevant for future work on elections and polarization.

First, because rates of competition in state legislatures are low, who runs for office is especially important in determining the degree of polarization in the legislature. As we have shown, the set of people running for state legislative office has polarized dramatically

²²In a previous version of the paper, we found that the advantage to more-extreme primary candidates increased in the 2010s relative to earlier years. With our updated data and model specifications, we no longer find that this is the case.

over the past two decades. Although research does suggest that structural factors affecting the desire to seek office are important for understanding polarization at the federal level (Thomsen 2017; Hall 2019), there is a lack of research exploring this pattern at the local and state level. This represents an important opportunity for future research, not only to understand polarization in the states directly, but also to understand polarization in Congress. A majority of members of Congress come from state legislatures, and recent work suggests that it is the overall polarization of state legislators, more than the differential selection of more-extreme state legislators to seek federal office, that is driving Congressional polarization (Phillips, Snyder, and Hall N.d.).

Second, we have shown that there has been a modest advantage for more-moderate candidates in the general election in state legislative elections, and this advantage has fallen meaningfully in the past decade. The advantage is also larger where electoral competition is greater, suggesting that a decline in competitive districts could be contributing to the decline in the advantage.

Third, and finally, there is a sizable advantage for more-extreme candidates in contested primary elections, and this advantage has remained large in recent years. This pattern is important, because it suggests at least two possible ways in which primary elections may be contributing to polarization in state legislatures: directly, by sending more-extreme candidates to low-competition general elections that they are relatively likely to win, and indirectly, by potentially deterring more-moderate candidates from seeking office in the first place.

Our study is meant to be only the first key step in what must be a broader effort to understand why state legislative elections work the way that they do. Why are the people running for state legislature themselves so much more polarized than they used to be? Why are more-extreme candidates advantaged in these primaries, and why has their disadvantage in general elections decreased? At the same time, how do state legislative elections sustain *any* advantage for more-moderate candidates, when information on state

legislative candidates has always been quite low? These are key questions for future research, and should be aided by the new measures and data that we have assembled to understand state legislative elections.

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Online Appendix

Intended for online publication only.

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A.1 Feature engineering and cross-validation for the ML scores

In this section, we provide details on the construction of the feature set for the random forest, the design and results of the cross validation procedure to choose the optimal number of predictors considered at each split in the trees, and the most predictive features from the final model.

To construct the feature set, we start by summing the total contribution amounts from each donor to each candidate in each election cycle. When candidates run in multiple states or multiple parties across different election cycles, we treat them as separate candidates. We reduce these contributions down to a contribution matrix \mathbf{X} where \mathbf{X}_{ij} represents the *average* amount that donor j gave to candidate i over all available election cycles. We use averages to reduce the scale differences between candidates that run in different numbers of election cycles.

Using \mathbf{X} , we create two types of donation summary features. The summary features were calculated for candidates in the training set in accordance with the ten-fold cross-validation scheme as follows. Let \mathcal{F} be the set of indices for candidates in the holdout fold at any step of the cross-validation procedure. For each donor, we calculate the dollar-weighted average scaling for each donor j to candidate i as:

$$z_j^{(i)} = \frac{\sum_{w \neq i, w \notin \mathcal{F}} y_w \mathbf{X}_{wj}}{\sum_{w \neq i, w \notin \mathcal{F}} \mathbf{X}_{wj}}$$

where y_w is the static scaling for candidate w after they take office. With these donor weighted averages, we calculate two types of summary features for candidate i that include no forbidden information from the candidate itself or candidates in the holdout fold. First, we calculate the dollar-weighted average scaling for candidate i using the donor scalings $z_j^{(i)}$ as in Equation 2, where the weights are the proportion of donations candidate i received from donor j . Second, we bin the $z_j^{(i)}$'s into bins between -4 and 4 of width 0.2 , and calculate the proportion of donations to candidate i that fall into each bin. Legislators in the training set receive the score from the cross-validation step where they were in the holdout fold.

We also include dummy variables for state, and dummy variables for larger individual donors. To improve coverage within states while reducing the computational complexity of the model, we include individual donors as dummy variables if they gave to at least 25% of the candidates within at least one state for the model that includes general election donations, and 15% of the candidates for the model that only includes primary donations.

Figure A.1 reports the results of the cross-validation for both the primary and general election models. We experimented with choosing $\log_2(n)$, \sqrt{n} , $0.05 \cdot n$, $0.10 \cdot n$, $0.15 \cdot n$ predictors at each split, where n is the total number of features. Figure A.2 shows that the most predictive features were by far the donation summary features and the state dummy variables.

Figure A.1 – Cross-validation results for choosing number of predictors

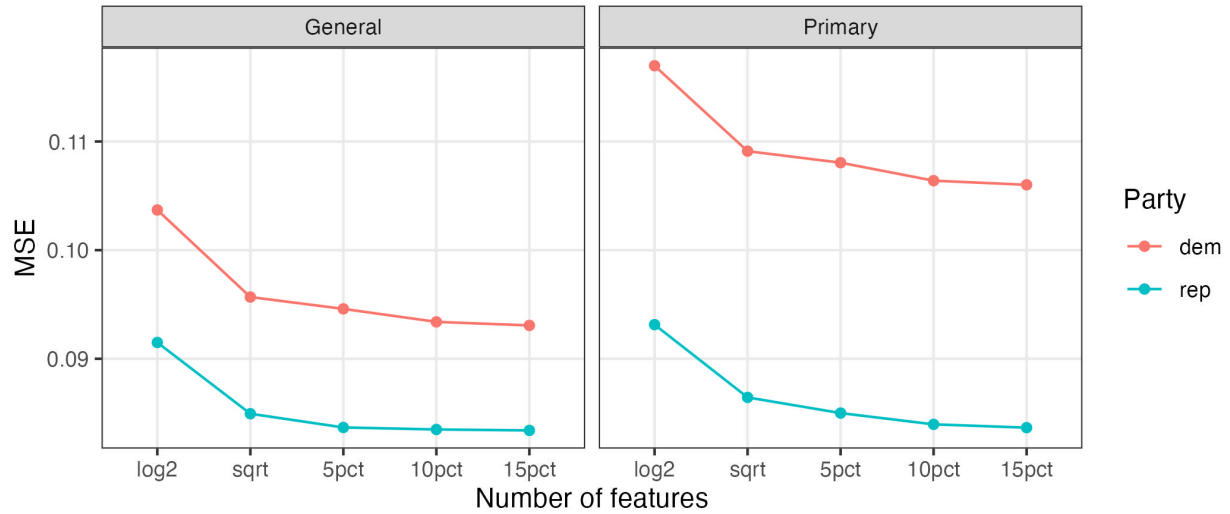
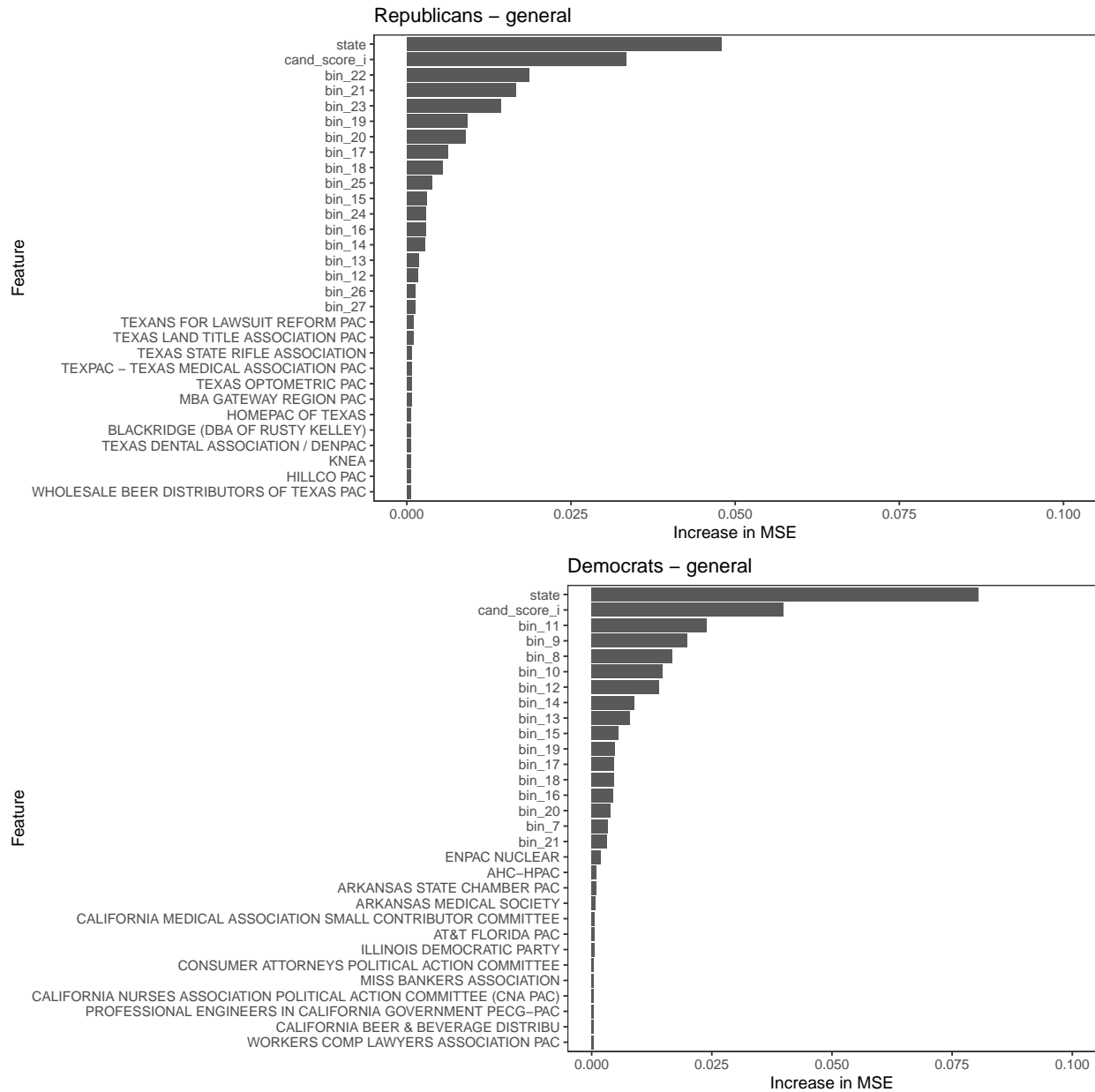


Figure A.2 – Feature importance for general election model



A.2 Scaling Coverage Diagnostics

In this section, we report balance statistics for the candidates and elections included in our regression sample compared to those that are excluded because they could not be assigned an ideology score.

Table A.1 – Scaling Coverage Balance Table. Table reports the count (rows 1-2), median count (row 3), and share (rows 4-10) of observations with non-missing scalings broken down by candidate *Attribute*. *Full Dataset* refers to the population values in the complete election returns dataset.

Attribute	Scaling				
	Full Dataset	ML Scaling	HS Score	Static CFscore	Dynamic CFscore
1 Total Candidate-Years	129,060	62,769	63,094	91,316	90,746
2 Total Distinct Candidates	67,966	26,506	26,546	48,029	47,911
4 Incumbent	0.385	0.473	0.474	0.442	0.444
5 Democrat	0.506	0.491	0.490	0.504	0.504
6 Lower Chamber	0.770	0.791	0.790	0.764	0.764
7 Vote Share General	0.622	0.671	0.671	0.646	0.646
8 Win General	0.484	0.661	0.660	0.550	0.552
9 Vote Share Primary	0.416	0.529	0.528	0.457	0.459
10 Win Primary	0.785	0.907	0.907	0.833	0.835

Table A.2 – Midpoint Coverage Balance Table. This table reports the number of general election *races* stratified by various race attributes and data restrictions.

Attribute	Data Restriction					
	All Races	Contested Races	Competitive Races	Races with HMH Midpoint	Races with HS Midpoint	Races with StaticCF Midpoint
1 N Races	63,109	37,335	16,242	10,202	10,287	19,908
2 Average Win Margin	0.56	0.26	0.10	0.16	0.16	0.23
3 Share Incumbents	0.83	0.72	0.68	0.60	0.60	0.71
4 Average General Elec. Contribs. (1000s)	169	169	255	304	303	158
5 Average Dem. Pres. Vote Share	0.51	0.50	0.48	0.46	0.46	0.49
6 Average Year	2011	2011	2011	2011	2011	2007
7 Share Western States	0.17	0.20	0.19	0.24	0.24	0.21
8 Share Midwestern States	0.25	0.30	0.30	0.37	0.37	0.31
9 Share Southern States	0.34	0.26	0.25	0.28	0.28	0.26
10 Share Eastern States	0.24	0.25	0.26	0.10	0.10	0.22

Shares for state geography may not sum to one due to rounding. Races with Midpoint must feature competition between one scalable candidate for each party.

Table A.3 – Primary Extremism Coverage Balance Table. This table reports the number of primary election *races* stratified by various race attributes and data restrictions.

Attribute	Data Restriction					
	All Races	Contested Races	Competitive Races	Races with HMH Rel. Centrism	Races with HS Rel. Centrism	Races with StaticCF Rel. Centrism
1 N Races	79,888	18,362	3,976	4,064	4,115	8,949
2 Average Win Margin	0.28	0.28	0.05	0.16	0.16	0.24
3 Share Incumbent	0.63	0.45	0.32	0.32	0.32	0.42
4 Average Primary Elec. Contribs. (1000s)	72	120	141	230	229	140
5 Average Dem. Pres. Vote Share	0.50	0.50	0.49	0.50	0.50	0.50
6 Average Year	2011	2012	2011	2011	2011	2008
7 Share Western States	0.17	0.20	0.22	0.24	0.24	0.20
8 Share Midwestern States	0.26	0.27	0.27	0.29	0.29	0.28
9 Share Southern States	0.32	0.39	0.39	0.41	0.41	0.41
10 Share Eastern States	0.24	0.14	0.13	0.06	0.07	0.12

Shares for state geography may not sum to one due to rounding. Races with Rel. Centrism must feature at least two scalable-candidates. Following Table 5, the sample is restricted to contested primary elections and excludes races in districts where the opposing party received greater than 70% of the two-party presidential vote share

Figure A.3 – ML Score Sample for Midpoint Analysis. Using our ML score, this figure plots the total number of general elections, contested elections, elections with a margin less than 20%, and the number of observations in our analysis sample for every even-numbered year.

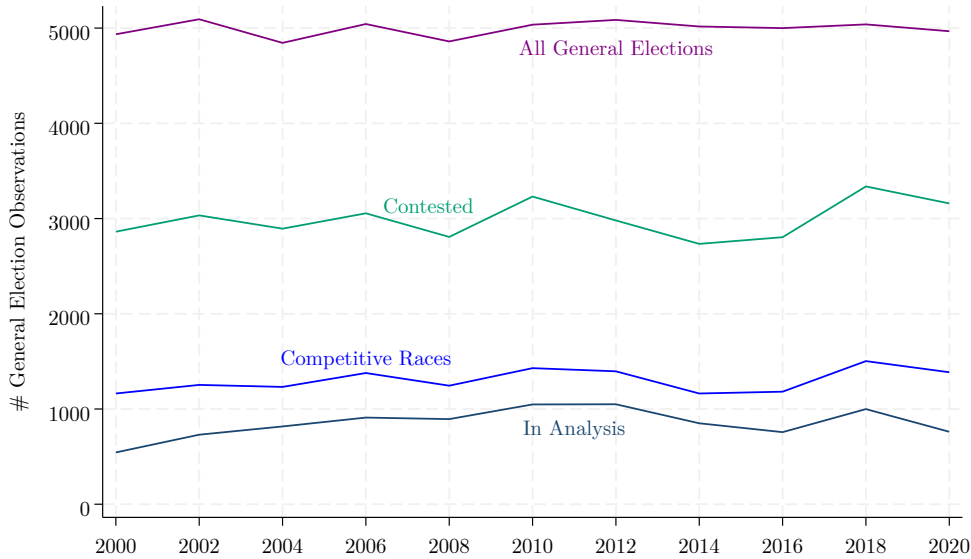
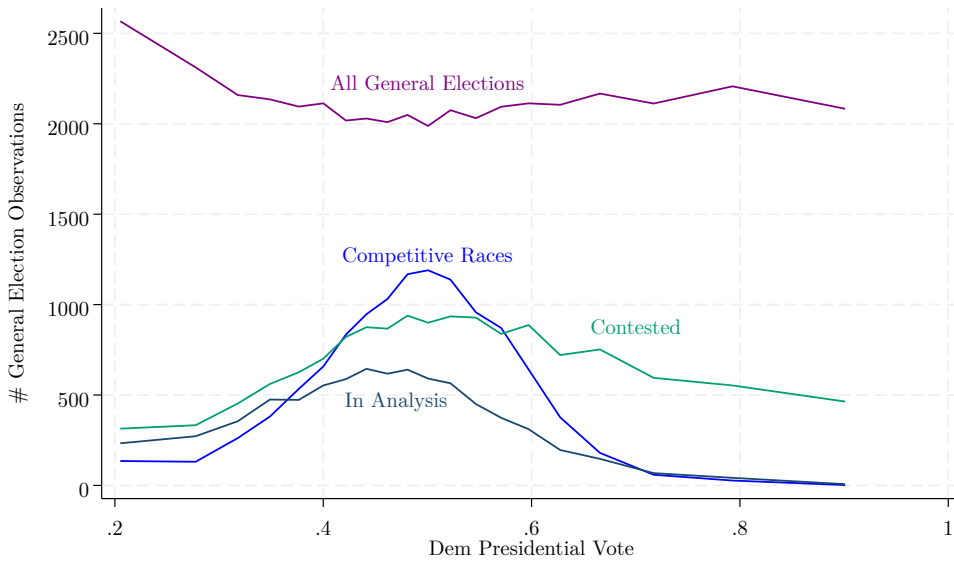


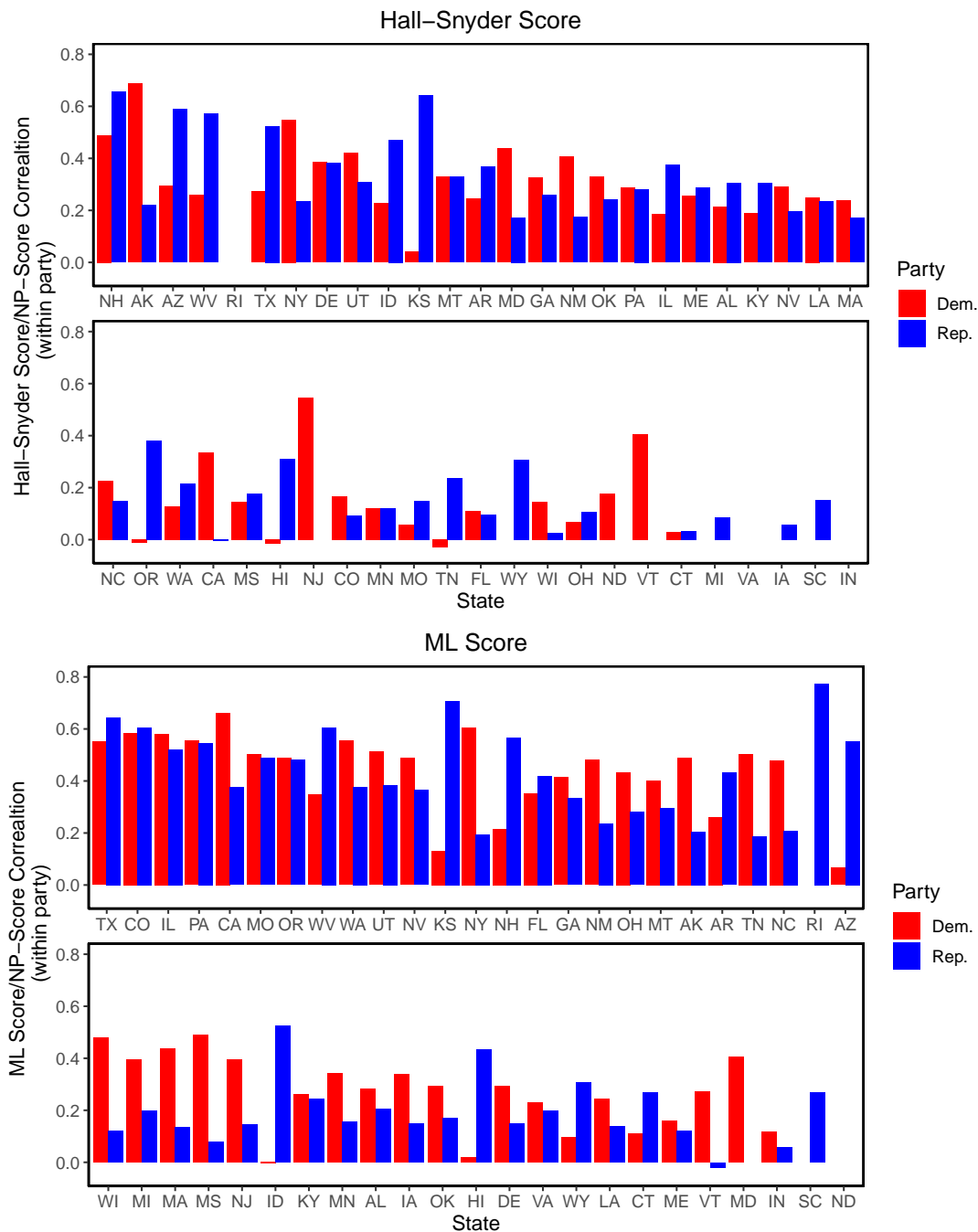
Figure A.4 – ML Score Sample for Midpoint Analysis. Using our ML score, for 20 equal-sample-sized bins of Democratic presidential vote share this figure plots the total number of general elections, contested elections, elections with a margin less than 20%, and the number of observations in our analysis sample.



A.3 Within-State Scaling Correlations

In this section, we examine the within-party correlations of both the Hall-Snyder and ML scores with NP-Scores across states. As the figure shows, correlations are high in many states, but there are some states and parties where the correlations are quite low, which is to be expected given the large number of different states and contexts in the data.

Figure A.5 – State-Level Within Party Correlations Between Scalings and NP-Scores. Hall-Snyder Scores correlate highly with NP-Scores within party and state.



A.4 Roll Call Classification Exercise

In this section we provide more detail about the roll-call votes used in Table 1.

State legislative roll call data were assembled from two sources. First, data for the near-universe of roll call votes cast in all 99 state legislative chambers for the years 2010-2022 were collected by the authors from www.Legiscan.com. These data consist of 60.8 million individual votes. We supplement these data with 11.2 million roll call votes for the years 2000-2009 from Fouirnaies and Hall (2022) for a varying panel of 21 states.²³ Combined, our roll call dataset encompasses 72 million distinct votes. Following Bonica (2014, 2018) and Poole and Rosenthal (2007), we remove lopsided roll calls with margins greater than 97.5% and omit abstentions and missed votes. Table A.4 reports the total number roll-call votes in our dataset by chamber and year.

For each roll call and scaling, we calculate the optimal cutting point between “yea” and “nay” votes (Poole and Rosenthal 2007). Leveraging these cutpoints, we impute predicted roll call votes and compare the result to the true votes cast. Results are reported in Table 1.

²³We include the unbalanced panel of states from 2000-2009 in our main analyses to evaluate the predictive capacity of our Hall-Snyder scores over an extended time frame. Our results in Table 1 are substantively unchanged if we instead focus on the years 2010-2022 for which we have a balanced panel.

Table A.4 – Number of State Legislative Roll Call Votes, 2000-2022.

Year	Overall	House	Senate
2000	525,030	502,200	22,830
2001	1,335,741	1,313,014	22,727
2002	647,393	628,493	18,900
2003	1,469,279	1,448,997	20,282
2004	905,406	880,660	24,746
2005	1,423,359	1,396,849	26,510
2006	893,547	867,604	25,943
2007	1,296,335	1,275,055	21,280
2008	908,425	884,248	24,177
2009	1,834,702	1,534,968	299,734
2010	2,212,753	1,570,450	642,303
2011	4,710,315	3,489,983	1,220,332
2012	3,901,469	2,860,733	1,040,736
2013	4,901,037	3,647,518	1,253,519
2014	3,726,559	2,726,239	1,000,320
2015	5,448,711	4,052,937	1,395,774
2016	4,058,217	2,962,530	1,095,687
2017	5,914,265	4,297,685	1,616,580
2018	4,622,352	3,315,950	1,306,402
2019	6,164,053	4,456,106	1,707,947
2020	3,619,255	2,527,984	1,091,271
2021	6,224,710	4,552,591	1,672,119
2022	4,748,004	3,444,087	1,303,917

A.5 Midpoint Estimate Robustness Checks

Estimates with Presidential Vote Share

In this table, we re-estimate the midpoint regressions using presidential vote share to control for district preferences instead of district fixed effects. As the table shows, we find generally larger estimates of the advantage in this specification, but with significantly less data.

Table A.5 – Advantage of More-Moderate Candidates in Contested General Elections, 2000-2022.

	Dem Vote Share			
	(1)	(2)	(3)	(4)
ML Scaling	0.17 (0.01)	0.12 (0.01)	0.14 (0.01)	0.15 (0.01)
ML Scaling (Primary donations Only)	0.09 (0.01)	0.05 (0.01)	0.06 (0.02)	0.09 (0.01)
Hall-Snyder Score	0.24 (0.01)	0.15 (0.01)	0.18 (0.01)	0.20 (0.01)
Static CFscore	0.30 (0.01)	0.16 (0.01)	0.23 (0.01)	0.28 (0.01)
Dynamic CFscore	0.30 (0.01)	0.21 (0.01)	0.31 (0.02)	0.30 (0.02)
District-by-Regime FE	N	N	N	N
Year FE	Y	Y	Y	Y
Controls for Primary Contributions	N	Y	N	N
Controls for Dem. Pres. Vote Share	Y	Y	Y	Y
Only races with below-median contribution gap	N	N	Y	N
Only races with ≥ 10 primary donors per candidate	N	N	N	Y

Each cell in this table reports the coefficient on *Midpoint* from Equation 4 which is scaled to run from 0 (most liberal) to 1 (most conservative) for each scaling. Robust standard errors are clustered by district-regime in parentheses.

Estimates without Low-Correlation and High-Error States

In this table, we re-estimate the midpoint regressions after excluding states with below-median average within-party correlations between ML scores and NP-Scores (first row) and above-median average NP-Score prediction error as reported in Shor and McCarty (2011) (second row). The estimates reported in this table are substantively identical to those estimated using the full sample in Table 2.

Table A.6 – Advantage of More-Moderate Candidates in Contested General Elections, 2000-2022.

	Dem Vote Share			
	(1)	(2)	(3)	(4)
ML Scaling (Excludes low-correlation states)	0.15 (0.03)	0.11 (0.03)	0.14 (0.04)	0.09 (0.03)
ML Scaling (Excludes high-error states)	0.22 (0.04)	0.16 (0.04)	0.14 (0.05)	0.16 (0.06)
District-by-Regime FE	Y	Y	Y	Y
Year FE	Y	Y	Y	Y
Controls for Primary Contributions	N	Y	N	N
Only races with below-median contribution gap	N	N	Y	N
Only races with ≥ 10 primary donors per candidate	N	N	N	Y

Each cell in this table reports the coefficient on *Midpoint* from Equation 4 which is scaled to run from 0 (most liberal) to 1 (most conservative) for each scaling. Robust standard errors are clustered by district-regime in parentheses. Sample restrictions are reported in parentheses in the first column.

A.6 Primary Extremism Estimate Robustness Checks

Estimates without Low-Correlation and High-Error States

In this table, we re-estimate the primary extremism regressions after excluding states with below-median average within-party correlations between ML scores and NP-Scores (first row) and above-median average NP-Score prediction error as reported in Shor and McCarty (2011) (second row). The estimates reported in this table for our preferred specification (column 2) are substantively identical to those estimated using the full sample in Table 5.

Table A.7 – Advantage of More-Extreme Candidates in Contested Primary Elections, 2000-2022.

	Primary Vote Share							
	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)
ML Scaling (Excludes low-correlation states)	-0.23 (0.02)	-0.23 (0.02)	-0.23 (0.02)	-0.23 (0.02)	-0.24 (0.02)	-0.24 (0.03)	-0.11 (0.03)	-0.10 (0.03)
ML Scaling (Excludes high-error states)	-0.14 (0.03)	-0.15 (0.04)	-0.13 (0.03)	-0.13 (0.03)	-0.13 (0.05)	-0.13 (0.05)	-0.04 (0.05)	-0.03 (0.05)
District-by-Party FE	Y	N	Y	N	Y	N	Y	N
Party-by-Year FE	Y	N	Y	N	Y	N	Y	N
Number of Candidates FE	Y	N	Y	N	Y	N	Y	N
Race FE	N	Y	N	Y	N	Y	N	Y
Controls for Primary Contributions	N	N	Y	Y	N	N	N	N
Only races with below-median contribution gap	N	N	N	N	Y	Y	N	N
Only races with ≥ 20 donors per candidate	N	N	N	N	N	N	Y	Y

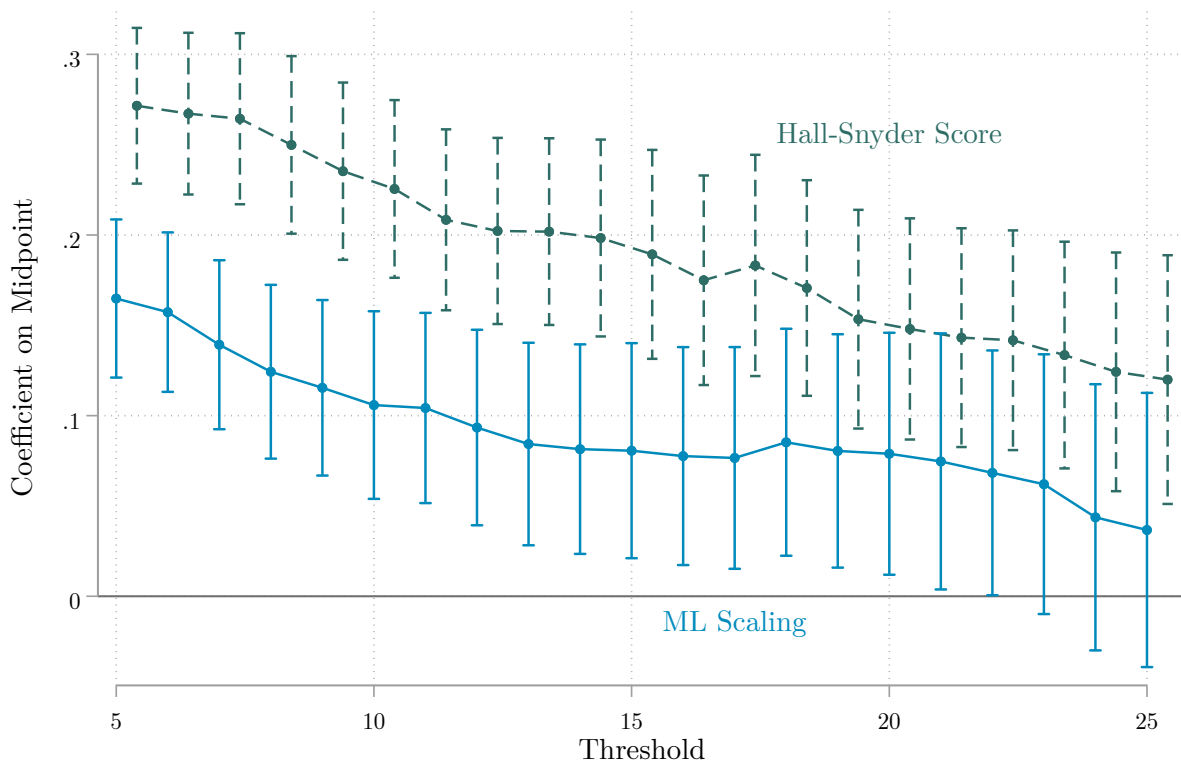
Each cell in this table reports the coefficient on *Relative Centrism* from Equation 5 which is scaled to run from 0 (most extreme) to 1 (most moderate) for each scaling. The sample is restricted to contested primary elections and excludes races in districts where the opposing party received greater than 70% of the two-party presidential vote share. Robust standard errors in parentheses.

A.7 Results Across Scaling Thresholds

In this section, we explore how our main midpoint and primary extremism results vary when we change the threshold required to include a candidate in the regression.

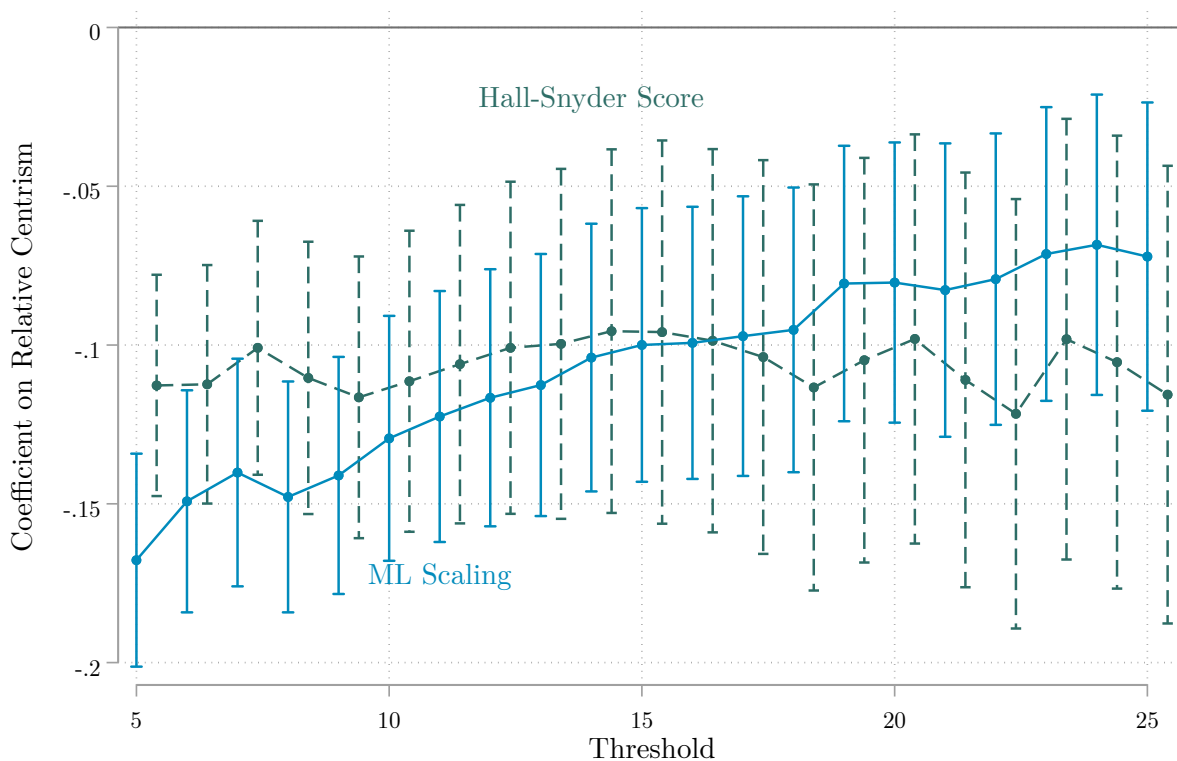
Midpoint Estimates

Figure A.6 – Robustness of General Election Analysis to Scaling Thresholds. This figure reports the coefficient on *Midpoint* across donor thresholds. The donor threshold is the minimum number of unique donors that both candidates in a race must receive a contribution from to be included in the analysis. Vertical bars report 95% confidence intervals.



Primary Extremism Estimates

Figure A.7 – Robustness of Primary Election Analysis to Scaling Thresholds. This figure reports the coefficient on *Relative Centrism* across donor thresholds. The donor threshold is the minimum number of unique donors that all candidates in a primary race must receive a contribution from to be included in the analysis. Vertical lines report 95% confidence intervals from robust standard errors.



A.8 Regression Discontinuity Details and Robustness Checks

In this section, we expand on the RD results presented in the paper. First, we re-estimate the RD on lagged party vote share in order to investigate balance. All but one of the estimates is statistically insignificant, and all of the estimates are positive—the opposite sign of the RD estimate. While we find that nominating more-extreme candidates leads to a decrease in general-election vote share, the small imbalance in the sample appears to go in the opposite direction, with the places that nominate more-extreme candidates in the RD sample tending to have slightly higher vote shares for the party, on average, than the places that nominate the more-moderate candidates.

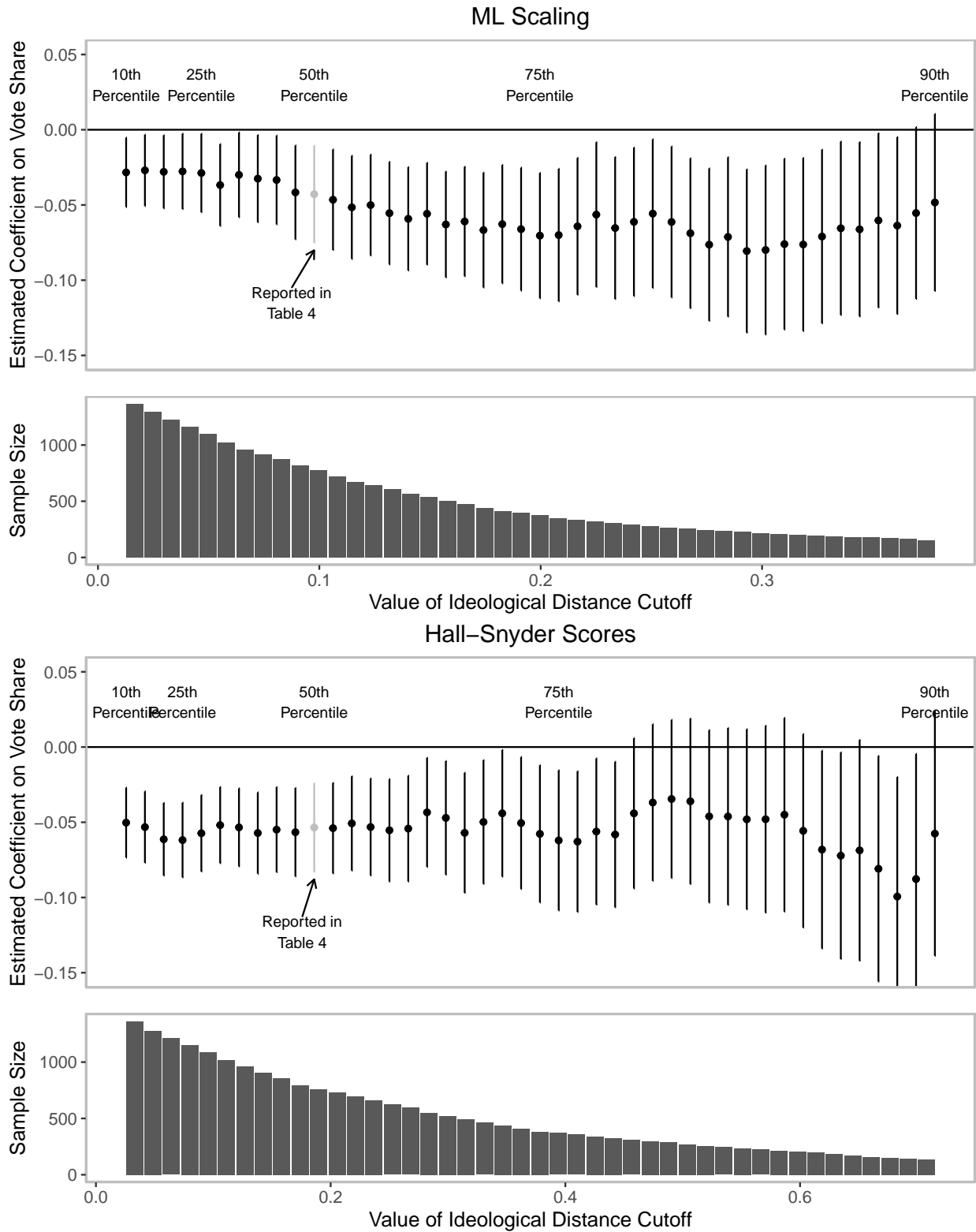
Table A.8 – Effect of Extremist Nominee on Lagged General Election Vote Share, U.S. State Legislatures 2000-2022.

	Party Vote Share			
	(1)	(2)	(3)	(4)
ML Scaling	0.00 (0.06)	0.03 (0.05)	0.00 (0.05)	0.02 (0.08)
Hall-Snyder Score	0.03 (0.06)	0.02 (0.05)	0.02 (0.05)	0.04 (0.07)
Polynomial	1	3	5	CCT
Bandwidth	.10	-	-	-

Note: Each cell in this table reports the coefficient on *Extremist Primary Win*. Robust standard errors are reported in parentheses.

Finally, we also evaluate how the RD estimate changes as we change the cutoff in terms of ideological distance between candidates used to determine which races enter the sample. In each panel, the figure plots the RD estimate across cutoff size, from the 10th to the 90th percentile. At the left of the plot, nearly all cases are being included in the data, including those where the two candidates are quite similar ideologically so that the “treatment” of nominating the more-extreme one is weak. Towards the right of the plot, we are strengthening the treatment by only including cases where the more-extreme candidate is substantially more extreme than the more-moderate candidate. As the figures show, with both measures, we find that the penalty grows as we strengthen the treatment.

Figure A.8 – Effect of Extremist Nominee on General Election Vote Share Across Possible Cutoffs.



A.9 Scaling Error and MSE Correlations

In this section, we document the correlation between measurement error and district competitiveness for our four scaling measures. Because donors are access seeking as well as ideological, candidates in very uncompetitive districts are likely to be scaled as too moderate relative to their true NP-Score. The ML scores do the best at ameliorating this relationship out of the four scores.

Figure A.9 – Dem. Presidential Vote Share (General Election)

